## **CLINICAL RESEARCH**

# Intravascular Ultrasound-Derived Predictors for Fractional Flow Reserve in Intermediate Left Main Disease

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**Objectives** The aim of this study was to determine the best intravascular ultrasound (IVUS) criteria for predicting physiological significance of left main (LM) stenosis with fractional flow reserve (FFR) as the standard.

**Background** For identifying significant LM disease, optimal cutoff of minimal lumen area (MLA) and its accuracy remain debatable.

**Methods** We identified 55 patients (31 stable and 24 unstable angina) with an isolated LM lesion of 30% to 80% angiographic diameter stenosis who underwent IVUS and invasive physiological assessment before intervention.

**Results** The FFR at maximum hyperemia significantly correlated with IVUS-measured MLA within the LM (r = 0.623, p < 0.001), plaque burden (r = -0.548, p < 0.001), angiographic diameter stenosis (r = -0.449, p = 0.002), and angiographic length of the lesion (r = -0.292, p = 0.046). The FFR was significantly lower in 18 lesions with plaque rupture than 37 lesions without plaque rupture ( $0.76 \pm 0.09$  vs.  $0.82 \pm 0.09$ , p = 0.018). The independent determinants of FFR as a continuous variable were MLA (beta = 0.598, p < 0.001) and plaque rupture (beta = -0.255, p = 0.038). Furthermore, the MLA within the LM was the only independent determinant for FFR <0.80 (adjusted odds ratio: 0.312, p < 0.001) and for FFR <0.75 (adjusted odds ratio: 0.196, p = 0.001). The IVUS MLA value within the LM that best predicted FFR <0.80 was <4.8 mm<sup>2</sup> (89% sensitivity, 83% specificity). In addition, the cutoff value of plaque burden to predict FFR <0.80 was  $\geq$ 72% (73% sensitivity, 79% specificity). The best cutoff values of the MLA and plaque burden for predicting FFR <0.75 were <4.1 mm<sup>2</sup> (95% sensitivity, 83% specificity) and  $\geq$ 76% (79% sensitivity, 80% specificity), respectively.

**Conclusions** In isolated LM disease, an IVUS-derived MLA  $<4.8 \text{ mm}^2$  is a useful criterion for predicting FFR <0.80. (J Am Coll Cardiol Intv 2011;4:1168–74) © 2011 by the American College of Cardiology Foundation

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Recent studies have suggested that fractional flow reserve (FFR)-guided percutaneous coronary intervention (PCI) is associated with reduced major adverse cardiac events in patients with multivessel coronary artery disease (1–3). Furthermore, FFR >0.75 to 0.80 is a strong predictor of excellent survival and low event rates in patients with intermediate left main (LM) disease, making it useful to identify patients in whom deferral of revascularization is associated with favorable clinical outcomes (4–9).

Because angiographic assessment of LM stenosis severity is not accurate (10,11), there have been attempts to find intravascular ultrasound (IVUS) measurements that correspond to the functional significance and clinical outcomes and to integrate morphological, physiological, and long-term follow-up data. The suggested minimal lumen area (MLA) cutpoint has varied from 5.9 to 9.6 mm<sup>2</sup> for identifying significant LM disease; therefore, the optimal cutoff value and its accuracy remain debatable (7,12,13). The aim of this study was to determine the best IVUS criteria for predicting physiological significance of intermediate isolated LM lesions with FFR as the standard.

#### Methods

Study population. Between January 2010 and April 2011, coronary angiography identified de novo LM disease in 145 patients. After excluding patients with regional wall motion abnormality, significant distal lesions (angiographic diameter stenosis [DS] > 50% within the left anterior descending artery [LAD] or left circumflex artery, either sequential or tandem involvement), myocardial infarction, or angiographic thrombi-containing lesions and cases in which the IVUS-imaging catheter failed to cross the lesion due to tight stenosis or tortuosity, we identified 55 consecutive patients (31 stable angina and 24 unstable angina) with an isolated LM lesion of 30% to 80% angiographic DS on visual estimation. These patients underwent IVUS and invasive physiological assessment before intervention. Treatment strategies were at the discretion of the operator. This study was approved by the institutional review board, and all patients provided written informed consent.

Angiographic analysis. Qualitative and angiographic analysis was done by standard techniques with automated edgedetection algorithms (CASS-5, Pie-Medical, Maastricht, the Netherlands) in the angiographic analysis center of the CardioVascular Research Foundation, Seoul, Korea. Minimal lumen diameter, DS, and lesion length were measured in the least foreshortened view. Lesion location was based on the site of minimal lumen diameter within the LM.

**FFR measurement.** "Equalization" was performed with the guidewire sensor positioned at the guiding catheter tip. Then, the 0.014-inch pressure guidewire (Radi, St. Jude Medical, Uppsala, Sweden) was advanced into the coronary artery and positioned  $\geq$ 3 cm distal to the LM lesion into

the LAD or left circumflex artery, depending on which was least diseased distally. The FFR was measured at maximal hyperemia induced by intravenous adenosine infusion, administered at 140 to 180  $\mu$ g/kg/min through a central vein. Hyperemic pressure pullback recordings were performed as described previously (8,14,15). In patients with an ostial LM stenosis, care was taken to pull the guiding catheter out of the LM during FFR assessment.

**IVUS imaging and analysis.** After FFR assessment, LADpullback IVUS imaging was performed after intracoronary administration of 0.2 mg nitroglycerin with motorized transducer pullback (0.5 mm/s) and a commercial scanner (Boston Scientific/SCIMED, Minneapolis, Minnesota) consisting of a rotating 40-MHz transducer within a 3.2-F imaging sheath. With computerized planimetry (Echo Plaque 3.0,

Indec Systems, MountainView,

California), off-line quantitative IVUS analysis was performed as previously described (16) in a core laboratory at the Asan Medical Center. At the site of the smallest lumen within the LM segment above the carina, MLA and external elastic membrane (EEM) area were measured. Plaque burden (PB) at the MLA site was calculated as: (EEM area - lumen area)/EEM area  $\times$  100(%). Statistical analysis. All statistical analyses were performed with SAS (release 9.1, SAS Institute, Inc., Cary, North Carolina) or SPSS (version 10.0, SPSS, Inc., Chicago, Illinois). All values are expressed as mean  $\pm 1$  SD (continuous variables) or as count and percentage (categorical variables). Continuous variables were com-

pared by use of the nonparametric

## Abbreviations and Acronyms

AUC = area under the curve CI = confidence interval DS = diameter stenosis EEM = external elastic membrane FFR = fractional flow reserve IVUS = intravascular ultrasound LAD = left anterior descending artery LM = left main coronary arterv MLA = minimal lumen area PB = plaque burden PCI = percutaneous coronary intervention ROC = receiver-operator characteristic

Mann-Whitney test or Kruskal-Wallis test; categorical variables were compared with the chi-square statistic or Fisher exact test.

Stepwise multiple linear regression analysis was performed to assess the independent predictors for FFR as a continuous variable, including the variables, such as lesions location, MLA, PB, angiographic DS, lesion length, and presence of plaque rupture. To ascertain independent predictors of FFR as binary variable (FFR <0.80 and FFR <0.75), multivariable logistic regression analysis was also performed.

To determine FFR <0.80 and FFR <0.75, receiveroperator characteristic (ROC) curves were analyzed to assess the best cutoff values of IVUS parameters that minimize the distance between the curve and the upper corner, with

Table 1. Baseline Clinical Characteristics in 55 Patients With LM Disease								
	Total (N = 55)	FFR <0.80 (n = 26)	FFR ≥0.80 (n = 29)	p Value				
Age, yrs	60 ± 12	58 ± 11	61 ± 12	0.287				
Male	41 (75%)	21 (81%)	20 (69%)	0.245				
Ejection fraction, %	$62\pm 6$	$62\pm7$	$60\pm5$	0.329				
Diabetes	16 (29%)	8 (31%)	8 (28%)	0.514				
Hypertension	27 (49%)	14 (54%)	13 (45%)	0.346				
Smoking	31 (56%)	17 (65%)	14 (48%)	0.157				
Hyperlipidemia	40 (73%)	20 (77%)	20 (69%)	0.361				
Previous PCI	10 (18%)	4 (15%)	6 (21%)	0.391				
Renal failure	2 (4%)	1 (4%)	1 (4%)	0.439				
Clinical manifestation								
Stable angina	31 (56%)	14 (54%)	17 (58%)	0.671				
Unstable angina	24 (44%)	12 (46%)	12 (41%)					
Values are mean $\pm$ SD or n (%). Fractional flow reserve (FFR) <0.80 vs. FFR $\geq$ 0.80. LM = left main coronary artery; PCI = percutaneous coronary intervention.								

MedCalc (MedCalc Software, Mariakerke, Belgium). The sensitivity, specificity, positive predictive value, and negative predictive value with 95% confidence intervals (CIs) were obtained. A p value <0.05 was considered statistically significant.

### Results

Clinical and angiographic characteristics. The clinical characteristics and angiographic findings in 55 patients with 55 coronary lesions are summarized in Tables 1 and 2. The lesion location was LM ostium in 27 (49%) lesions and LM shaft in 10 (18%) lesions. In the remaining 18 (33%) distal LM lesions, Medina classification was 1,1,1 in 3 lesions; 1,1,0 in 4 lesions; 1,0,0 in 9 lesions; and 1,0,1 in 2 lesions. Quantitative coronary angiographic DS was 47.7  $\pm$  11.6% (range 30% to 78%). Overall, 26 (47%) lesions had FFR <0.80, and 19 (35%) lesions had FFR <0.75 at maximum hyperemia. There was a trend for a worse angiographic DS in the lesions with FFR <0.80 versus FFR  $\geq$ 0.80 (50.5  $\pm$  12.6% vs. 44.8  $\pm$  10.3%, p = 0.070); however, this did not reach statistical significance. There was no significant difference in FFR between stable angina versus unstable angina presentation (0.80  $\pm$  0.08 vs. 0.81  $\pm$  0.11, p = 0.797) or patients with diabetes versus without diabetes (0.81  $\pm$  0.10 vs. 0.79  $\pm$  0.08, p = 0.377).

**IVUS findings.** Table 2 summarizes the IVUS findings. Plaque rupture was seen in 18 (33%) lesions, and the most frequent rupture sites were LM ostium and shaft locations (13 of 18 [77%]). The MLA and FFR were  $5.6 \pm 1.9 \text{ mm}^2$  and  $0.83 \pm 0.10$  in ostial LM lesions;  $3.5 \pm 1.5 \text{ mm}^2$  and  $0.79 \pm 0.09$  in shaft lesions; and  $4.1 \pm 1.8 \text{ mm}^2$  and  $0.77 \pm 0.07$  in distal LM lesions (p = 0.008 for MLA, and p = 0.02 for FFR among the 3 groups).

**Determinants for FFR.** The FFR at maximum hyperemia significantly correlated with IVUS-measured MLA within the LM (r = 0.623, p < 0.001), IVUS-measured PB within the LM (r = -0.548, p < 0.001), angiographic DS (r = -0.449, p = 0.002), and angiographic length of the lesion (r = -0.292, p = 0.046). Although there was no difference

Table 2. Quantitative Coronary Angiographic and IVUS Findings in 55 Intermediate LM Lesions							
	Total (N = 55)	FFR <0.80 (n = 26)	FFR ≥0.80 (n = 29)	p Value*			
Quantitative coronary angiography							
Angiographic ulceration	5 (11%)	3 (13%)	2 (8%)	0.601			
Proximal reference diameter, mm	$\textbf{3.9} \pm \textbf{0.6}$	$\textbf{3.8} \pm \textbf{0.6}$	$4.1\pm0.6$	0.174			
Distal reference diameter, mm	$3.5\pm0.5$	$3.4\pm0.4$	$3.7\pm0.5$	0.151			
Interpolated diameter, mm	$3.7\pm0.5$	$3.6\pm0.5$	$3.8\pm0.4$	0.063			
Minimal lumen diameter, mm	$2.0\pm0.5$	$1.8\pm0.5$	2.1 ± 0.6	0.004			
Diameter stenosis, %	47.7 ± 11.6	50.5 ± 12.6	44.8 ± 10.3	0.070			
Lesion length, mm	$10.8\pm5.4$	$13.0 \pm 5.2$	8.8 ± 4.1	0.001			
IVUS findings							
At MLA site within LM							
MLA, mm <sup>2</sup>	4.9 ± 2.4	3.4 ± 1.2	$6.3\pm2.3$	< 0.001			
EEM area, mm <sup>2</sup>	17.8 ± 5.5	16.8 ± 3.6	18.7 ± 6.7	0.202			
Plaque burden, %	69.3 ± 15.4	77.5 ± 10.8	61.9 ± 15.6	< 0.001			
At the LAD ostium							
MLA, mm <sup>2</sup>	5.9 ± 2.3	5.6 ± 2.0	$6.2\pm2.6$	0.396			
EEM area, mm <sup>2</sup>	13.5 ± 3.8	12.8 ± 3.0	14.1 ± 4.3	0.230			
Plaque burden, %	57.0 ± 15.4	56.9 ± 13.0	57.1 ± 17.8	0.566			

Values are n (%) or mean  $\pm$  SD. \*Fractional flow reserve (FFR)  ${<}0.80$  vs. FFR  ${\geq}0.80.$ 

EEM = external elastic membrane; IVUS = intravascular ultrasound; LAD = left anterior descending coronary artery; LM = left main coronary artery; MLA = minimal lumen area.

in the MLA between lesions with and without plaque rupture, FFR was significantly lower in 18 lesions with plaque rupture than 37 lesions without plaque rupture (0.76  $\pm$  0.09 vs. 0.82  $\pm$  0.09, p = 0.018).

Multivariable linear and logistic regression analysis included MLA, PB, angiographic DS, lesion location, lesion length, and presence of plaque rupture. The independent determinants of FFR as a continuous variable were MLA (beta = 0.598, 95% CI: 0.017 to 0.040, p < 0.001) and plaque rupture (beta = -0.255, 95% CI: -0.088 to -0.005, p = 0.038). Table 3 shows the results of univariable analysis to predict an abnormal FFR as a dichotomous variable. The MLA within the LM was the only independent determinant for FFR <0.80 (adjusted odds ratio: 0.312, 95% CI: 0.164 to 0.593, p < 0.001) and for FFR <0.75 (adjusted odds ratio: 0.196, 95% CI: 0.076 to 0.501, p = 0.001).

Cutoff values of predictors for abnormal FFR. The IVUS MLA value within the LM (minimizing the distance between the curve and the upper corner of the graph) that best predicted FFR <0.80 was <4.8 mm<sup>2</sup> (89% sensitivity, 83% specificity, area under the curve [AUC]: 0.90, 95% CI: 0.788 to 0.964, p < 0.001) (Fig. 1). The overall diagnostic accuracy was 86% (Fig. 2) with a CI of 2.9 to 5.2 mm<sup>2</sup>. In 23 (82%) of 28 lesions with an MLA <4.8 mm<sup>2</sup>, FFR was <0.80. Among 27 lesions with an MLA  $\geq$ 4.8 mm<sup>2</sup>, 3 (11%) had FFR <0.80, and only 1 (4%) had FFR <0.75 (Fig. 2). In addition, the cutoff value of PB to predict FFR <0.80 was  $\geq$ 72% (sensitivity 73%, specificity 79%, AUC: 0.79, 95% CI: 0.664 to 0.891, p < 0.001).

Furthermore, the IVUS-measured MLA that best predicted FFR <0.75, was <4.1 mm<sup>2</sup> (95% sensitivity, 83% specificity, and AUC: 0.92, 95% CI: 0.810 to 0.976, p < 0.001). The overall diagnostic accuracy was 87% (Fig. 2) with a CI of 3.2 to 4.1 mm<sup>2</sup>. Among 31 lesions with an MLA  $\geq$ 4.1 mm<sup>2</sup>, all but 1 (97%) lesion had FFR  $\geq$ 0.75, and that lesion had a plaque rupture. In addition, the cutoff value of PB to predict FFR <0.75 was  $\geq$ 76% (sensitivity 79%, specificity 80%, AUC: 0.84, 95% CI: 0.718 to 0.925, p < 0.001).

When subgroup analysis included only 37 lesions without plaque rupture, the cutoff value of the MLA for predicting FFR <0.75 was <4.8 mm<sup>2</sup> with a sensitivity of 93% and a specificity of 86% (AUC: 0.935, p < 0.001). Conversely, in 18 lesions with plaque rupture, the MLA <4.8 mm<sup>2</sup> predicted FFR <0.80 with a sensitivity of 83% and a specificity of 67%. In addition, the diagnostic accuracy was only 77%.

In the 15 lesions with IVUS MLA  $\geq 6.0 \text{ mm}^2$ , all but 1 (93%) showed FFR  $\geq 0.80$ , and FFR < 0.75 was completely excluded. However, among 40 lesions with an IVUS MLA  $< 6.0 \text{ mm}^2$ , 15 (38%) had FFR  $\geq 0.80$ , and 21 (58%) had FFR  $\geq 0.75$ .

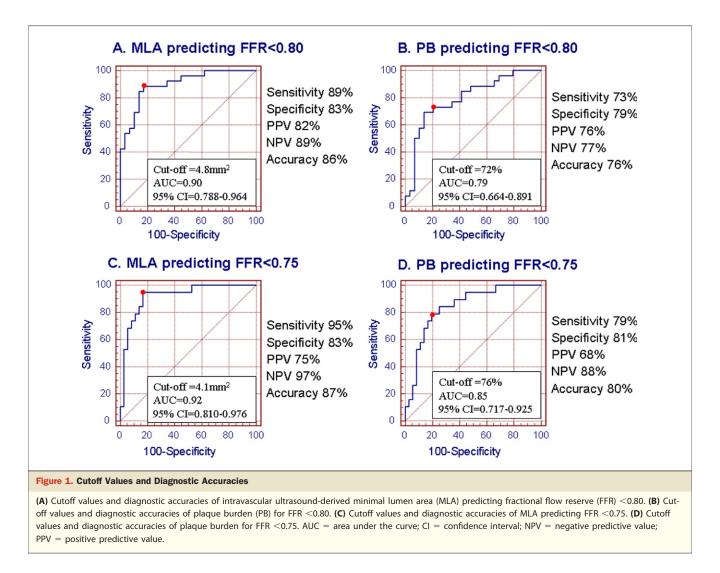
An angiographic DS >48% was the best cutoff on ROC curve, but a poor predictor of FFR <0.80 (sensitivity 51%, specificity 75%, AUC: 0.654, 95% CI: 0.501 to 0.787, p = 0.070). An FFR <0.80 was demonstrated in 11 (38%) of 29 lesions with an angiographic DS  $\leq$ 48%, and FFR  $\geq$ 0.80 was seen in 6 (33%) of 18 lesions with DS  $\leq$ 48%.

**Treatment.** Overall, 29 patients underwent PCI, and 1 patient underwent coronary artery bypass surgery at the discretion of the operator. Revascularization was performed in 18 (95%) of 19 lesions with FFR <0.75, 5 (71%) of 7 lesions with FFR 0.75 to 0.80, and 7 (29%) of 24 lesions with FFR  $\geq$ 0.80.

### Discussion

The major findings of this study of intermediate LM lesions are the following: 1) IVUS-measured MLA within the LM was the independent determinant for both FFR < 0.80 and

Variables	Prediction of FFR <0.80			Prediction of FFR <0.75		
	C-OR	95% CI	p Value	C-OR	95% CI	p Value
MLA within LM	0.312	0.164-0.593	<0.001	0.196	0.076-0.501	0.001
Plaque burden	1.095	1.031-1.164	0.003	1.142	0.050-1.241	0.002
Lesion length	1.192	1.038-1.368	0.013	1.102	0.981-1.237	0.102
Rupture	3.273	0.953-11.243	0.060	3.143	0.895-11.034	0.074
Angiographic DS	1.049	0.993-1.108	0.088	1.078	1.013-1.147	0.020
Lesion location	2.081	1.070-4.046	0.031	1.851	0.934-3.670	0.078
Male	0.511	0.127-2.057	0.345	0.663	0.149-2.947	0.590
Age	0.965	0.917-1.016	0.172	0.994	0.944-1.047	0.826
Diabetes mellitus	1.062	0.304-3.710	0.924	0.700	0.180-2.725	0.607
Hypertension	1.300	0.412-4.101	0.654	2.671	0.749-9.531	0.130
Smoker	2.701	0.816-0.8945	0.104	2.062	0.579-7.347	0.264
Hyperlipidemia	1.167	0.324-4.200	0.814	0.765	0.203-2.889	0.693
Stable presentation	0.476	0.078-2.894	0.420	0.347	0.037-3.253	0.354



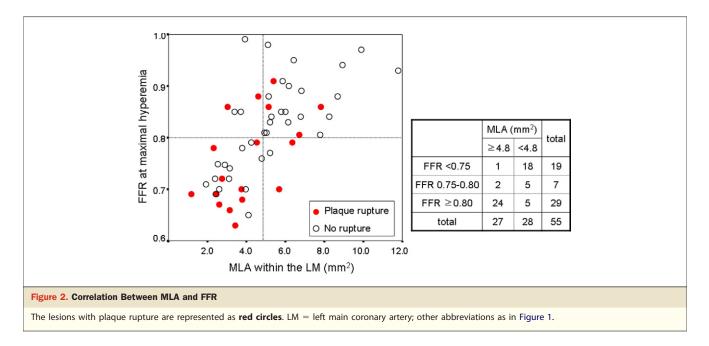
FFR <0.75 at maximal hyperemia; 2) the best cutoff value to predict FFR <0.80 and FFR <0.75 were an IVUS MLA of 4.8 mm<sup>2</sup> and 4.1 mm<sup>2</sup>, respectively; and 3) plaque rupture in the LM was frequent and independently affected the FFR and the FFR versus IVUS correlation.

Previous studies have demonstrated that FFR >0.75 to 0.80 was a strong predictor of favorable clinical outcomes in patients with intermediate LM disease (4–8). On the basis of FFR <0.75 and FFR ≥0.75, Jasti et al. (7) reported that the 38-month survivals were 100% versus 100% and event-free survival estimates were 100% versus 90%, respectively (all, p > 0.05). When treatment strategy of equivocal LM stenosis was determined by FFR <0.80 (bypass surgery) versus ≥0.80 (medical therapy), 5-year survival estimates were similar between surgical and nonsurgical groups (85.4% vs. 89.8%, p = 0.48), as were the 5-year event-free survival estimates (74.2% vs. 82.8%, p = 0.50) (9). Thus, FFR measurement is appropriate to identify patients with intermediate LM stenosis in whom deferral of revascular-

ization is associated with excellent survival and low event rates.

Despite the statistically significant correlation between angiographic DS and FFR as continuous variables in the current study, there was a large scatter; and there was no angiographic DS that accurately predicted an abnormal FFR, consistent with previous results (10,11). In the current study more than one-third of the lesions were misclassified with angiographic assessment alone.

Studies have differed on the cutoff value of the IVUSderived MLA that identified a significant LM stenosis (7,12,13). With the mean minus 2 SDs of MLA measurements in patients with a normal LM, Fassa et al. (12) reported that an MLA >7.5 mm<sup>2</sup> seemed to be safe to defer revascularization. Furthermore, on the basis of the longterm outcomes, the best cutoff of the MLA for performing or deferring revascularization was 9.6 mm<sup>2</sup> by ROC (12). Nissen et al. (13) proposed an absolute area <9 mm<sup>2</sup> as a criteria for a significant LM stenosis. Compared with FFR



as the "gold standard," Jasti et al. (7) reported that an MLA of  $5.9 \text{ mm}^2$  had the highest sensitivity and specificity (93% and 95%, respectively) for determining a significant LM stenosis.

In the current study, the cutoff value that best predicted an abnormal FFR was lower than previously suggested. Among 28 lesions with an IVUS MLA  $<4.8 \text{ mm}^2$ , 23 (82%) had FFR <0.80, and 18 (64%) had FFR <0.75. Conversely, among 40 lesions with an IVUS MLA <6.0 mm<sup>2</sup>, only 19 (48%) had FFR <0.75, and 25 (63%) had FFR <0.80. Thus, an MLA <6.0 mm<sup>2</sup> was less specific for predicting an abnormal FFR (a specificity of 48% for FFR <0.80 and a specificity of 42% for FFR <0.75), suggesting that with this criterion approximately 60% of lesions without functional significance would undergo PCI or surgery, perhaps unnecessarily. Even though the MLA  $\geq$ 4.8 mm<sup>2</sup> excellently excluded FFR <0.80 in 89% of patients and FFR <0.75 in 96% of patients, normal FFR ( $\geq\!0.80$  and  $\geq 0.75$ ) was still observed in 18% and 36% of the lesions, respectively, with a smaller MLA  $<4.8 \text{ mm}^2$ . Moreover, in the presence of plaque rupture, the diagnostic accuracy was only 77%. To avoid the wrong diagnosis in terms of functional significance, invasive FFR or noninvasive stress tests should precede the decision for treatment especially for the lesions with MLA  $<4.8 \text{ mm}^2$  or plaque rupture.

Recent studies have reported a worse correlation between IVUS and FFR in intermediate non-LM disease than was seen in LM lesions in the current study (17–19). Thus, the diagnostic accuracy of the MLA cutpoint seems to be better in LM lesions compared with the non-LM lesions. This might be explained by the simplicity of morphological characteristics of pure LM lesions, uniformly large vessel size, short lesion length, and lack of side branch and other anatomical factors potentially affecting FFR. Additionally, studied patients had no regional wall motion abnormalities and mostly showed preserved left ventricular ejection fraction. Consistent amount of viable myocardium might contribute to minimize the influence on FFR.

The frequency of plaque rupture within the LM as a culprit lesion and the impact of plaque rupture on functional significance of stenosis have been unknown. The current data identified plaque rupture in 38% of patients with stable and unstable angina and suggested that plaque rupture independently affected FFR. However, further study is necessary to confirm the physiological effect of plaque rupture.

Study limitations. First, the current study included a relatively small number of patients with pure LM disease without significant downstream disease. Second, we excluded patients with significant LAD or left circumflex artery stenosis; this is a frequent problem in real practice. With the lack of validation with clinical outcomes, the current study assumed FFR to be the gold standard and investigated angiographic and IVUS morphological predictors for currently accepted cutoff of FFR. Clinical follow-up study with the new IVUS criteria should be performed in a large cohort to clarify the natural history of deferred lesions with the MLA  $\geq$ 4.8 mm<sup>2</sup> and to support guidelines for revascularization strategy.

### Conclusions

In pure LM disease, an IVUS-derived MLA  $<4.8 \text{ mm}^2$  is a useful criterion for predicting FFR <0.80.

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**Key Words:** fractional flow reserve ■ intravascular ultrasound ■ left main coronary artery.